Improvement of the Broadside Radiation Pattern of a Conformal Antenna Array using Amplitude Tapering

Irfanullah¹, Shahid Khattak¹, and Benjamin D. Braaten²

¹Department of Electrical Engineering COMSATS Institute of Information Technology Abbottabad, KPK, Pakistan eengr@ciit.net.pk, skhattak@ciit.net.pk

> ² Department of Electrical and Computer Engineering North Dakota State University, Fargo, ND, 58102, USA benjamin.braaten@ndsu.edu

Abstract – In this paper, an algorithm for evaluation of near optimal amplitude excitation coefficients for a conformal array on a wedge-shaped surface has been developed in order to maximize the broadside radiation pattern. The radiation pattern for an eight-element conformal antenna has been analyzed and the derived analytical expressions are used to recover the distorted broadside radiation pattern. A heuristic amplitude tapering algorithm is developed to maximize the broadside radiation pattern through the control of individual voltage variable attenuator of each radiating element in the array. It is shown that with appropriate amplitude tapering, the broadside radiation pattern of the array can be improved without phase correction. The pattern recovery information is used to develop a new 1 x 8 microstrip patch antenna array bent at certain angles on a single curved non-conducting structure and the measured results are shown to agree well with the analytical solutions.

Index Terms — Adaptive arrays, antenna radiation patterns, conformal antennas, microstrip antenna arrays, phased arrays.

I. INTRODUCTION

Conformal antennas have attracted attention in many wireless applications that require antennas to be placed on non-planar surfaces [1] and well defined techniques are available to use linear and planar array configurations to achieve a desired radiation pattern [2, 3]. However, these techniques assume a fixed position of the antenna elements. In practice though, the position of the antenna elements may be changed (e.g., bending the antenna array), which results in an unwanted change of the original radiation pattern. Several phase- and amplitude- compensation techniques have been investigated and reported to autonomously recover the distorted radiation pattern of a conformal antenna [47,12] and more recently genetic algorithms for phase compensation [13,16,19,20]. In [14,15], photo-conductive attenuators have been designed for adaptive nulling antenna array. Traditionally for conformal antenna arrays, the designers use both amplitude and phase correction to correct the broadside radiation pattern and to control the sidelobe characteristics to a desired level, see for example [5-7]. Since additional phase shifters and attenuators are required to implement these compensation techniques, the cost of the system and complexity of the feed network is considerably increased. This work investigates the possibilities of simplifying these techniques and studies the effects of amplitude tapering (instead of altering the phase of each antenna element) on broadside and side lobe level (SLL) radiation patterns. As a result, a low-cost solution can be utilized to improve the broadside pattern recovery and sidelobe level control on a conformal surface. The amplitude tapering for conformal antennas has been investigated in [9] where the amplitude weights have been determined using empirical techniques. No automated algorithm has been proposed to deal with different scenarios.

In this work, an amplitude tapering algorithm is developed using the array factor expression, which nearly gives an optimal amplitude coefficients to improve the radiation pattern in the target (broadside) direction and reduces the energy level in the first side lobes, while keeping the transmit power the same as that of uniform illumination. The amplitude tapering is created by redistributing the power among the antenna elements, so that the total transmit power remains the same, i.e., the sum of the squares of amplitude tapering voltages is the same as the sum of squares of a uniform amplitude excitation voltages of the same number of antenna elements.

This work is organized in the following manner. In Section 2, the radiation pattern correction is presented using analytical expressions and an optimization algorithm. Section 3 presents simulation and measurement validation of the pattern correction for a 45 degree conformal wedge. Finally Section 4 concludes the paper.

II. ARRAY FACTOR (AF) AND OPTIMIZATION ALGORITHM

Consider the eight-element array attached to a conformal wedge-shaped surface shown in Fig. 1. The location of the n^{th} element in the array is represented as a function of distance a_n from the origin, and angle ϕ_n from the *x*-axis, where n = 1, 2, ..., 8. ϕ_b is the bend angle of the wedge and the broadside main beam direction is in the +*y*-direction.

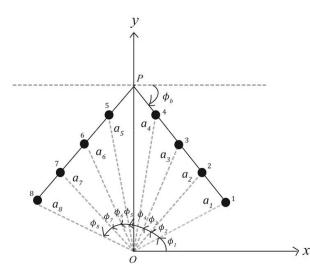


Fig. 1. A drawing of the eight-element amplitude-tapered array antenna on a conformal wedge-shaped surface.

A. Array Factor (AF)

The radiation pattern produced by the array configuration in Fig. 1 can be written as (chapter 5 in [1]): $AF = \sum_{n=1}^{N} F_n(\theta, \phi) w_n e^{jk[x_n \cos \phi + y_n \sin \phi]}, \quad (1)$

 $AF = \sum_{n=1}^{N} F_n(\theta, \phi) w_n e^{j\kappa[x_n \cos \phi + y_n \sin \phi]}$, (1) where k is the free space wave number, N is number of antenna elements, (x_n, y_n) is the location of n^{th} antenna element on the conformal surface in Fig. 1, and $F_n(\theta, \phi) = \cos(\phi - \phi_b)$ is the pattern for right fourelements and $F_n(\theta, \phi) = \cos(\phi + \phi_b)$ is the pattern of left four-elements in Fig. 1. $w_n = I_n e^{j\Delta\phi}$ is the complex weighting function required to drive the n^{th} antenna element. For this work, the phase difference $\Delta\phi$ between adjacent antenna elements was made zero, and the amplitude tapering coefficient I_n was computed for various bend angles to correct the main beam direction towards the broadside. Putting $w_n = I_n$ for amplitude tapering, (1) can be written as:

$$AF = \sum_{n=1}^{N} F_n(\theta, \phi) I_n e^{jk[x_n \cos \phi + y_n \sin \phi]}.$$
 (2)

To determine the amplitude tapering coefficients I_n in (2), the following optimization algorithm was

developed to correct the broadside main beam target direction.

B. Algorithm development to compute amplitude coefficients

A classical antenna array synthesis problem assigns complex weights to each array element so as to maximize the radiation pattern in the target directions while minimizing the side-lobes below a certain level. This can be easily formulated as a convex optimization problem which minimizes the total radiated power subject to the constraints on the radiation in the target direction while suppressing the side-lobes radiation to a value below γ_{SLL} [8]:

$$\begin{array}{l} \min |w|_2^2, \\ \text{subject to } |AF_{tar}(w)| = 1, \\ \text{and } |AF_{SLL}(w)| \le \gamma_{SLL}, \end{array}$$
(3)

where $w = [w_1, w_2, ..., w_N]^T$ represents the complex antenna element weights. AF_{tar} is the radiation in the target direction and AF_{SLL} is the radiation pattern of the side lobes. The objective function in (3) ensures that the total radiated power of the antennas is constrained. Its implementation, however, necessitates both attenuators and phase shifters which leads to an expensive solution. A less expensive but suboptimal approach is to use only the amplitude tapering, i.e.,

$$\underset{l}{\operatorname{arg\,max}} |AF_{tar}(I)|,$$
subject to $|I|_2^2 \le 1,$
and $|AF_{SLL}(I)| \le \gamma_{SLL},$

$$(4)$$

where the weights $I = [I_1, I_2, ..., I_N]^T$ represents the amplitude coefficients I_n in (2). Although this problem can be solved through the classical descent algorithms, a simpler approach involves growing *I* incrementally one antenna element at a time by making a locally optimal choices at each stage. During each incremental increase in I, a multi-criteria objective function is formed using the change in the radiated power in the target direction $\Delta AF_{tar}^2(I)$ and the maximum change encountered in the first sidelobe max($\Delta AF_{SLL}^2(I)$). A scalarization parameter β is used to control the emphasis to either of these two parameters, i.e., ΔAF_{tar}^2 and ΔAF_{SLL}^2 . This multi-criteria objective function is then normalized with respect to the change in the total transmit power given by the increase in the square of Euclidean modulus of the weight vector $\Delta |I|_2^2$. The process of adding weights continues for as long as the weight constraint in (4) is not violated. The objective function over each incremental change is now written as:

$$\arg \max_{l_n'} \frac{\Delta AF_{tar}^2 - \beta \max(\Delta AF_{SLL}^2)}{\Delta |l|_2^2}$$

$$\{AF_{tar}^2(I') - AF_{tar}^2(I)\} - \beta \max\{AF_{SLL}^2(I') - AF_{SLL}^2(I)\}$$
(5)

 $= \underset{I_n'}{\operatorname{argmax}} \frac{|I'|_2^2 - |I|_2^2}{|I'|_2^2 - |I|_2^2},$ where $I' = \Delta I_n + I$, ΔI_n is the incremental change in the n^{th} antenna element weight that maximizes the above ratio and I' is the corresponding new weight vector. Both the half beamwidth ζ and the scalarization parameter β are also varied in order to obtain a solution that gives the best tradeoff between the antenna gain, the beam-width, and the energy gap to the first sidelobe.

Table 1: Optimization algorithm		
	Algorithm: Computation of Amplitude	
	Excitation Coefficients	
1	Inputs: N , a_n , ϕ_n , θ_{tar} , ϕ_b , $F_n(\theta, \phi)$	
2	Outputs: I, β_{opt} , ζ_{opt}	
3	For $\zeta = \theta_1$ to θ_2 beam-width	
4	For $\beta = \beta_1$ to β_2 scalarization parameter	
5	Initialization: $I_{N \times 1} = [0, 0, \cdots 0]^T$,	
	AF = 0;	
6	while $ I _2^2 < 1$ do	
7	for $n = 1 \rightarrow N$ do	
8	$I_n' = I_n + \Delta I$	
9	ΔAF^2	
	$\Omega(n) = \frac{\Delta A F^2}{\Delta I _2^2}$	
	$=\frac{\Delta A F_{tar}^2 - \beta \max(\Delta A F_{SLL}^2)}{\Delta I _2^2}$	
	$=$ $\Delta I _2^2$	
10	end for	
11	$I^* \leftarrow \arg \max_n \Omega(n)$ $I_{n^*} = I_{n^*} + \Delta I$	
12	${I_{n^*}}' = {I_{n^*}} + \Delta I$	
13	$I_m \leftarrow {I_n^*}'$	
14	end while	
15	end For	
16	end For	
17	$\arg\max_{I,\zeta,\beta} \left(\frac{AF_{tar}}{AF_{SLL}}\right)$	

Table 1 gives the algorithm for the weights selection. It uses a greedy approach, using the locally optimal choice of increasing the power in only one antenna element at a time that maximizes the ratio in (4). The algorithm is optimized in a manner, so that the total transmit power for various bend angles is always normalized to one, i.e., sum $(I_n^2) = 1$.

In Fig. 2, the radiation pattern of the proposed amplitude tapering algorithm (labeled as 'Amplitude tapering') is compared with the optimal phase and amplitude correction algorithm (2) (labeled as 'Optimal') and the uncorrected radiation pattern (labeled as 'Uncorrected'). All three algorithms are simulated for eight-element antenna array for various bend angles of 0 to 45° of a wedge-shaped conformal surface. The value of β is varied from 0 to 8, the half beamwidth is varied between 10° to 45° and the value of γ_{SLL} in (2) is fixed to -10 dB.

For bend angles less than 10° , the difference between the gains of corrected and uncorrected radiation patterns is very small. The effect of bend angles on the uncorrected pattern becomes more pronounced at higher angles ($\phi_b \ge 10^\circ$) with the sidelobe levels becoming comparable or even bigger than the main beam in the target direction. The proposed algorithm not only improves the main lobe gain but also reduces the SLL considerably as shown in Fig. 2. The difference in the gains between uncorrected and corrected patterns is highlighted for the bend angles shown. For the simulated bend angles 0 to 45°, the proposed amplitudetapering algorithm gain stays within 6 dB of the optimal scheme, which is within the acceptable limit [5,6,17,18].

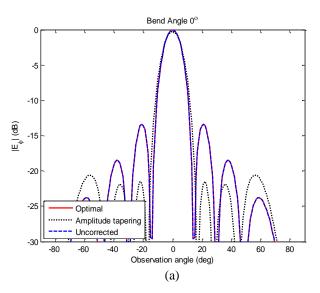
The aperture efficiency $\eta_{aperture}$ can be calculated using the expression in [10]:

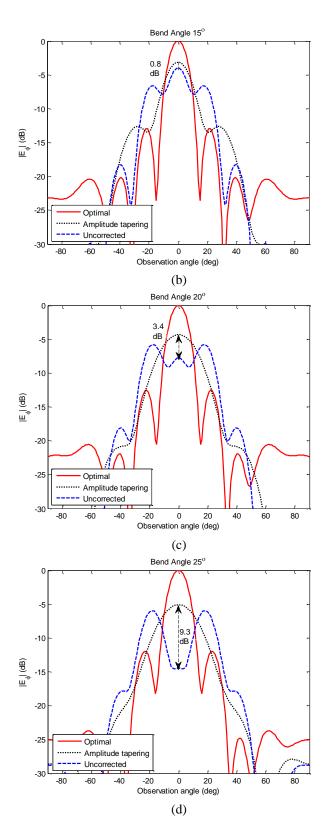
$$\eta_{aperture} = \frac{\left(\sum_{n=1}^{N} I_n\right)^2}{N \sum_{n=1}^{N} (I_n)^2}.$$
 (6)

The aperture efficiencies for various bend angles were computed using (6) and are given in Table 2. The results in Table 2 show that conformal surfaces with different bending angles require various amplitude weighting distributions, aperture efficiencies, and hence various deviations of pattern recovery patterns from optimal patterns as illustrated in Fig. 2.

Table 2: Aperture efficiency for various bend angles of the eight-element conformal array

ϕ_b	In	n _{aperture} (%)
0	[0.23, 0.24, 0.41, 0.43, 0.43, 0.42, 0.24, 0.23]	100
5	[0.23, 0.34, 0.36, 0.43, 0.43, 0.36, 0.34, 0.23]	97.5
10	[0.14,0.4,0.46,0.32,0.32,0.46,0.4,0.14]	88.4
15	[0,0.29,0.53,0.36,0.36,0.53,0.29,0]	70.7
20	[0, 0, 0.46, 0.53, 0.53, 0.46, 0, 0]	50
25	[0, 0, 0.46, 0.53, 0.53, 0.46, 0, 0]	50
30	[0, 0, 0, 0.71, 0.71, 0, 0, 0]	25
35	[0.5, 0, 0, 0.5, 0.5, 0, 0, 0.5]	50
40	[0.5, 0, 0, 0.5, 0.5, 0, 0, 0.5]	50
45	[0.46, 0, 0.0.53, 0.53, 0, 0, 0.46]	50





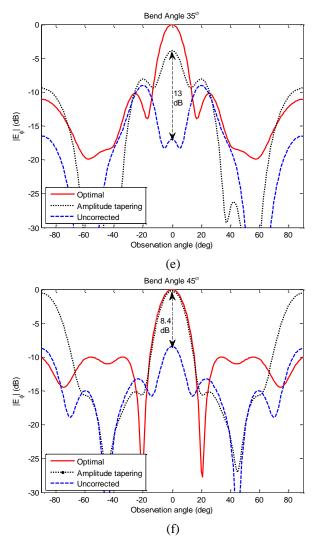
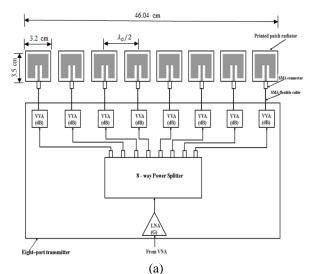


Fig. 2. Simulated radiation pattern of the eight-element conformal array for:(a) $\phi_b = 0^{\circ}$,(b) $\phi_b = 15^{\circ}$,(c) $\phi_b = 20^{\circ}$, (d) $\phi_b = 25^{\circ}$, (e) $\phi_b = 35^{\circ}$, and (f) $\phi_b = 45^{\circ}$.

III. MEASUREMENT VALIDATION

For measurement purposes, the eight-element testing array in Fig. 3 (a) was fabricated, and used to validate (1) on a conformal wedge-shaped surface with bend angle $\phi_b = 45^\circ$ (shown in Fig. 3 (b)). The array consisted of voltage variable attenuators, a 8-way power splitter, amplifier and eightmicrostrip patches designed to operate at 2.45 GHz with an inter-element spacing of $0.5\lambda_0$. The power splitter, attenuators and amplifiers were manufactured by Mini-Circuits [11] (PNs: ZN8PD1-53-S+, ZX73-2500-S+ and ZX60-33LN-S+, respectively). Identical SMA cables were used to

connect each patch to a port on the power splitter through an attenuator.



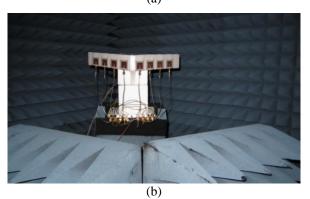


Fig. 3. (a). Topology of the eight-element array used for attachment to conformal surfaces, and (b) picture of the eight-element array attached to a wedge in the anechoic chamber for bend angle $\phi_b = 45^\circ$.

The algorithm in Table 1 was used to calculate the amplitude distribution coefficients to maximize the broadside main beam radiation pattern and -10 dB first sidelobe constraints was defined. The computed amplitude coefficients for $\phi_b = 45^\circ$ are given in Table 2. The uniform amplitude tapering coefficients for the uncorrected case are given by $[1, 1, 1, 1, 1, 1, 1]/\sqrt{8}$.

Next, the eight-element wedge antenna array of Fig. 3 (b) was driven with uncorrected and corrected amplitude distribution coefficients, and the results for bend angle $\phi_b = 45^\circ$ are shown in Fig. 4 (amplitude tapering correction results were normalized with respect to optimal scheme). With amplitude tapering, the broadside main beam direction has been corrected by 8.4dB over the uncorrected pattern.

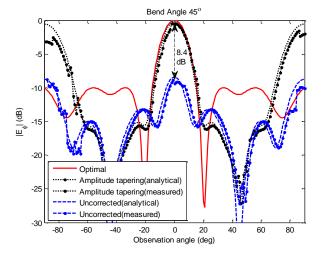


Fig. 4. Measurement and analytical results of the eightelement conformal array for bend angle $\phi_b = 45^\circ$.

IV. CONCLUSION

Amplitude tapering algorithm was developed to improve the radiation pattern of eight-element conformal array towards the broadside target direction. The proposed algorithm was compared with the optimal scheme (that utilizes both phase and amplitude correction) for various bend angles of conformal wedge. It was shown that the broadside main beam pattern can be corrected using amplitude excitation coefficients only (instead of both phase and amplitude correction). Therefore a low-cost solution can be utilized to improve the broadside pattern recovery on a conformal surface. A measurement case of 45° conformal wedge showed that 8.4dB correction of main beam direction towards the broadside over uncorrected case can be achieved using the proposed amplitude tapering algorithm.

REFERENCES

- R. L. Haupt, Antenna Arrays: A Computational Approach. John Wiley and Sons, Ltd., Hoboken, New Jersey, pp. 552, 2010.
- [2] R. C. Hansen, *Phased Array Antennas*. John Wiley and Sons, Inc., New York, NY, pp. 547, 2010.
- [3] L. Josefsson and P. Persson, Conformal Array Antenna Theory and Design. Wiley-IEEE Press, pp. 496, 2006.
- [4] H. Schippers, J. Verpoorte, P. Jorna, A. Hulzinga, A. Meijerink, C. Roeloffzen, et al., "Broadband conformal phased array with optical beam forming for airborne satellite communication," *IEEE Aerospace Conference*, Big Sky, MT, pp. 1-17, Mar. 2008.
- [5] B. D. Braaten, M. A. Aziz, S. Roy, S. Nariyal, Irfanullah, N. F. Chamberlain, M. T. Reich, and

D. E. Anagnostou, "A self-adapting flexible (SELFLEX) antenna array for changing conformal surface applications," *IEEE Transactions on Antennas and Propagation*, vol. 61, no. 2, pp. 655-665, Feb. 2013.

- [6] B. D. Braaten, S. Roy, Irfanullah, S. Nariyal, and D. E. Anagnostou, "Phase-compensated conformal antennas for changing spherical surfaces," *IEEE Transactions on Antennas and Propagation*, vol. 62, no. 4, pp. 1880-1887, Apr. 2014.
- [7] D. Anagnostou and M. Iskander, "Adaptive flexible antenna array system for deformable wing surfaces," *IEEE Aerospace Conference*, Big Sky, MT, pp. 1-6, Mar. 7-14, 2015.
- [8] S. Boyd and L. Vandenberghe. *Convex Optimization*. Cambridge University Press, 2004.
- [9] S. Nariyal, Irfanullah, S. A. Naqvi, B. Ijaz, M. M. Masud, B. Booth, K. Asirvatham, and B. D. Braaten, "On the use of amplitude tapering for pattern correction of conformal (curved) antennas," *IEEE International Conference on Wireless for Space and Extreme Environments (WiSEE)*, Baltimore, MD, pp. 1-2, Nov. 7-9, 2013.
- [10] G. D. Hopkins, J. Ratner, A. Traille, and V. Tripp, "Aperture efficiency of amplitude weighting distributions for array antennas," *IEEE Aerospace Conference*, Big Sky, MT, pp.1-9, Mar. 3-10, 2007.
- [11] Mini-Circuits. [Online]. www.minicircuits.com
- [12] C. Loecker, P. Knott, R. Sekora, and S. Algermissen, "Antenna design for a conformal antenna array demonstrator," 6th European Conference on Antennas and Propagation (EUCAP), Prague, pp. 151-153, Mar. 2012.
- [13] R. L. Haupt, "Adaptive antenna arrays using a genetic algorithm," *IEEE Mountain Workshop on Adaptive and Learning Systems*, Logan, UT, pp. 249-254, July 2006.
- [14] R. L. Haupt and J. R. Flemish, "Broadband linear array with photoconductive attenuators," *4th European Conference on Antennas and Propagation (EUCAP)*, Apr. 2010.
- [15] R. L. Haupt, J. R. Flemish, and D. W. Aten, "Adaptive nulling using photoconductive attenuators," *IEEE AP-S Trans.*, vol. 59, no. 3, pp. 869-876, Mar. 2011.
- [16] R. L. Haupt and S. E. Haupt, *Practical Genetic Algorithms*. 2ndEdition, John Wiley and Sons, Ltd., Hoboken, New Jersey, pp. 272, 2004.
- [17] B. D. Braaten, Irfanullah, S. Nariyal, and A. Naqvi, "Scanning characteristics of a self-adapting phased-array antenna on a wedge-shaped conformal surface," *Proceedings of the 2013 IEEE International Symposium on Antennas and Propagation*, Orlando FL, pp. 1220-1221, July 7-13, 2013.
- [18] I. Ullah, S. Nariyal, S. Roy, M. M. Masud, B. Ijaz, A. Aftikhar, S. A. Naqvi, and B. D. Braaten, "A

note on the fundamental maximum gain limit of the projection method for conformal phased array antennas," *Proceedings of the IEEE International Conference on Wireless Information Technology and Systems*, Maui, Hawaii, Nov. 11-16, 2012.

- [19] R. L. Haupt, "Phased array beam steering through serial control of the phase shifters," *ACES Express Journal*, vol. 1, no. 1, Jan. 2016.
- [20] P. Rocca and R. L. Haupt, "Biologically inspired optimization of antenna arrays," ACES Journal, vol. 29, no. 12, Dec. 2014.



Irfanullah received the Ph.D. degree in Electrical and Computer Eengineering from North Dakota State University, Fargo, ND, USA, in 2013. He is an Assistant Professor in Electrical Engineering Department at COMSATS, Abbottabad, Pakistan. His research interests

include the antenna arrays, metamaterials and topics in EMC.



Shahid Khattak received Dr.-Ing. degree from Technische Universität Dresden, Germany in 2008. His research interests include mobile cellular networks, 3G and next generation mobile systems.



Benjamin D. Braaten (S'02-M'09) received the Ph.D. degree in Electrical Engineering from North Dakota State University, Fargo, ND, USA, in 2009.

During the 2009 Fall semester he held a Post-doctoral Research position at the South Dakota School

of Mines and Technology in Rapid City, SD. Currently, he is an Associate Professor in the Electrical and Computer Engineering Department at North Dakota State University. His research interests include printed antennas, conformal self-adapting antennas, microwave devices, topics in EMC, and methods in computational electromagnetics.