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Abstract – A 2.44 GHz high gain circular polarized (CP) patch antenna is designed, fabricated and tested for operation while mounted on a rotating cutting drum of a mining or excavation machine. This design incorporates a radiating element that is elevated above the ground plane to increase the antenna gain. The design utilizes a single feed source and a square truncated corner patch to produce CP radiation. The gain of the final design reached 9 dBi with a sufficiently CP axial ratio and more than -25 dB cross-polarization isolation. This system enables the data communication between the rock cutting tools installed on the machine and the unit to enable the remote monitoring of tool conditions as well as identification of the formations being mined.

*Index Terms* – Circular polarization, high gain, mining and excavation equipment, patch antenna.

# I. INTRODUCTION

The mining industry is considered to be inherently dangerous, where workers are constantly exposed to risks such as confined spaces, dust, falling debris and heavy machinery [1, 2]. In response to the working conditions at various mining operations, there has been a trend in the mining and heavy civil construction industries towards the automation of excavation equipment, primarily aimed at minimizing operator presence in hazardous environments. This project seeks to advance this mission by developing a sensing system for various excavation units such as continuous miner (CM) or similar machines equipped with a pick cutter drum. Figure 1 shows an example of this machine. The sensing system, called "Smart Pick" or "Smart Bit" will provide the necessary data to operate the machine as if operatives were in close proximity to the machine. These data include cutting forces on the pick cutters which allows for monitoring bit wear and identification of the formation being mined. This is based on the measurement of cutting forces on the picks and analysis of signals using AI and ML algorithm for feature extraction to enable the machine to perform the above noted goals. Cutting force is combined with additional information such as drum rotation speed, thrust, rate of penetration, and drum torque/power to allow for identifying the type of rock being excavated. These data also have the potential to increase operational efficiency through the analysis of equipment wear data. Bit sensors, which are customized capacitive or piezoelectric load sensors, are installed between the pick cutter and the bit block mounted on the cutting drum.

To transfer data from the rotating cutting drum to an onboard computer, control system or base remote station for analysis and real time monitoring of the working



Fig. 1. Picture of a continuous miner commonly used in mining of coal, trona, salt and soft rock types. Pick cutters are mounted on a drum to apply force and break the rock [14].

conditions, specialized antennas were developed to collect and transmit the data from the cutting tools to the control unit. The data transmission component should function in an environment that involves dust, presence of water or moisture in the air, impact by objects, and very high intensity vibration.

A block diagram of the system can be seen in Fig. 2. The yellow traces represent the signal output from each pick's sensor. These data are then aggregated and preprocessed on the drum (orange box), and then transmitted by the designed data transmission system through the circular polarized (CP) antenna. Given the possibility of impact and interferences, a protective system had to be installed to prevent damage to the antenna by the impact of other objects. The protective system has to be designed in such way not to interfere or impede data transmission. As such, a special box was designed to offer protection against impact to the antennas. The transmitting and receiving antennas are placed inside the shown protective green box/cover.

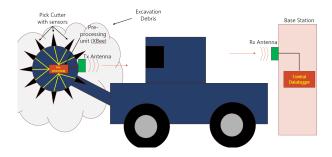


Fig. 2. Overall system configuration.

The antennas were required to have the following specifications for good communications: operation at 2.44 GHz, more than 6 dBi of gain, CP radiation and more than -25 dB isolation from the cross-polarized radiation. The unlicensed 2.44 GHz operation was chosen to be compatible with the rest of the communication hardware [3]. More than 6 dBi was selected to ensure a strong wireless link in the presence of debris, dust and water in the operational environment. This was determined through the Friis transmission equation. CP was necessary because the input data will be from the cutting tools on a rotating drum/cutterhead, thus putting the antennas at constantly changing orientations. Lastly, strong isolation of the cross polarized radiation is useful to reject any reflections produced in the underground environment [4].

Many different designs were reviewed to completely comprehend how a patch antenna might be optimized to this application [5–13]. Through this review, the elevation of the patch antenna above the ground plane stood out as a common strategy to develop high gain patch antennas.

# **II. L-SHAPED PATCH ANTENNA DESIGN**

The current antenna design was initially based on the typical design outlined in [15, 16]. However, this published antenna design utilized copper sheets without any substrate backing for rigid support. The substrate backed antenna in Fig. 3 creates a more physically robust design which is required for the current application, given the harsh environment the antenna will be experiencing and the need for amplification of the signal. The substrate utilized was FR4 with  $\varepsilon_r = 4.4$  and electric conductivity  $\sigma = 0.02$ . Furthermore, the antenna incorporates a two-piece patch: the main radiating element and a triangle vertical patch acting as a feed. The main radiating element is oriented parallel to the ground plane and utilizes a square, with truncated corner patch shape to produce CP radiation. This patch is elevated above the ground plane, leaving an air gap. The air gap acts as a low loss substrate, which contributes to the high gain in the proposed design. The small triangle patch, which is perpendicular to the ground plane, is used to feed the radiating element. Figure 4 shows the connection between the two patch components along with the coaxial center pin joint. The coaxial center pin is fixed directly at the bottom point of the triangle. This triangle acts as an efficient feeding method and contributes to the gain by directing more energy in the broadside direction and reducing losses that occur on the sides of the antenna. Lastly, a large ground plane is utilized to direct more energy in the broadside direction and further increase the gain. Right-hand and left-hand circularly polarized (RHCP and LHCP) antennas were designed and fabricated based on this design methodology and configuration.

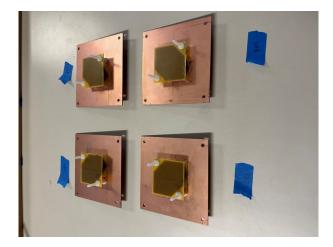


Fig. 3. Fabricated LHCP L-shaped patch antenna.

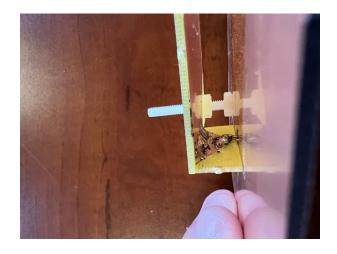


Fig. 4. Inside view of solder joint of L-shaped patch.

#### A. Protective antenna covers

Given the violent, dusty, wet, underground environment, the antenna must have some functional protection. Thus, protective antenna covers were designed and fabricated. The box can also be sealed from air circulation to prevent gases from entering the area around the antenna, a measure that would be critical in getting pertinent certifications for the use of this system in gassy environments such as underground coal mines. The antenna utilizes a vertical coaxial feed as shown in Fig. 5. This required a two-piece cover, with a top and bottom portion, to act as enclosure. Furthermore, a 90-degree connector had to be used on the coaxial connection to allow for the design of a flat cover for a flush mounting solution on the drum. This cover is shown in Fig. 6.

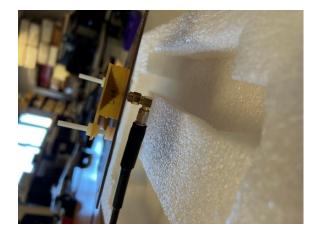


Fig. 5. L-shaped patch antenna coaxial feed.

## **B.** Simulations

The simulations were conducted using the computational electromagnetic simulator (CEMS v5) which uti-



Fig. 6. L-shaped patch protective antenna cover.

lizes the finite difference time domain (FDTD) method [17, 18]. The RHCP and LHCP simulated models can be seen in Fig. 7. Figure 8 shows a dimensioned image of this design. The corresponding values for each dimension are shown in Table 1. These designs were optimized in the simulation tool for the requirements described in the introduction section. Various simulated results are shown in Figs. 9 to 13. Figure 9 shows input reflection of -20 dB at 2.44 GHz for the LHCP and RHCP simulations. Moving into the far field results, less than 1 dB AR is shown at broadside direction for both models in Figs. 10 and 11. Lastly, in Figs. 12 and 13, more than -25 dB cross-polarized isolation and 9 dB



Fig. 7. L-shaped patch LHCP and RHCP simulated antennas.

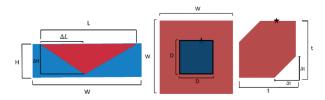


Fig. 8. L-shaped patch antenna with dimensions defined.

Table 1: L-shaped patch dimension values

Parameter	Dimension (mm)
W	120
D	54.5
Т	51.5
Δt	19.25
Н	11
$\Delta H$	10
L	32.25
$\Delta L$	14.5

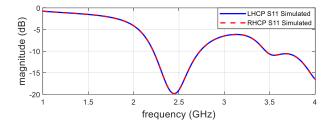


Fig. 9. L-shaped patch LHCP and RHCP simulated S<sub>11</sub>.

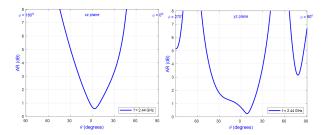


Fig. 10. L-shaped patch LHCP AR in xz (left) and yz (right) planes.

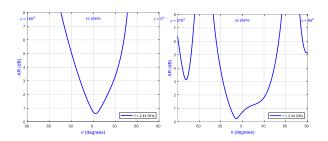


Fig. 11. L-shaped patch RHCP AR in xz (left) and yz (right) planes.

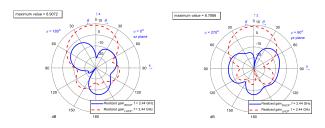


Fig. 12. LHCP simulated radiation patterns with realized gain in xz (left) and yz (right) planes.

of gain are shown. Thus, the simulated model meets all requirements.

A separate set of simulations with two antennas were also conducted to collect simulated  $S_{21}$  data which will later be used to verify the antenna gain. The simulations were conducted with a co-pol antenna pair and a cross-pol antenna pair. Figures 14 and 15 show the simulation setups. The antennas are separated by 22 mm

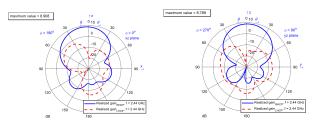


Fig. 13. LHCP simulated radiation patterns with realized gain in xz (left) and yz (right) planes.

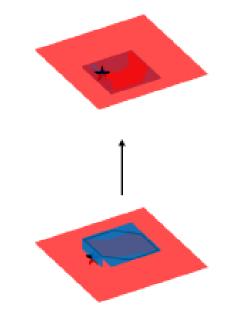


Fig. 14. RHCP+RHCP antenna simulation (co-pol) configuration.

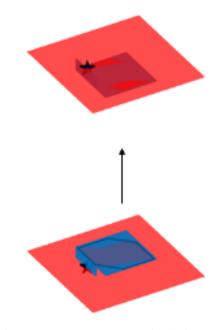


Fig. 15. RHCP+LHCP antenna simulation (cross-pol) configuration.

from ground plane to ground plane. The corresponding  $S_{21}$  results are shown in Fig. 16. As expected, in a line-of-sight transmission set up, the co-pol antennas are most efficient with -9.8 dB  $S_{21}$  when compared to the cross-pol  $S_{21}$  of -30 dB. The cross-pol antenna pair would be used in an application where a reflection of the wave between transmit and receive occurs, such as radar systems. At that time the  $S_{21}$  would be in the order of -9.8 dB.

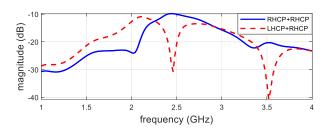


Fig. 16. RHCP+RHCP (co-pol) and RHCP+LHCP (cross-pol) simulated S<sub>21</sub>.

#### C. Fabrication and testing

Two RHCP and two LHCP antennas were fabricated as seen in Figs. 17 and 18. For brevity, one of each polarization will be shown with its corresponding measured results. The holes on all four corners of the antenna ground planes are for the plastic mounting screws of the antenna covers. These antennas were then tested, and results were compared with the simulated data.

The antenna  $S_{11}$  was measured using a VNA where the covered and uncovered antennas are shown in Fig. 19. The data collected was then plotted with the simulated data to allow for a comprehensive comparison. These plots are shown in Figs. 20 and 21, where Fig. 20

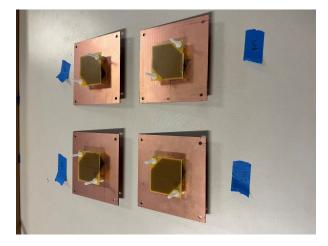


Fig. 17. Fabricated LHCP L-shaped patch antenna.

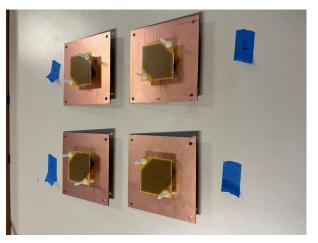


Fig. 18. Fabricated RHCP L-shaped patch antenna.

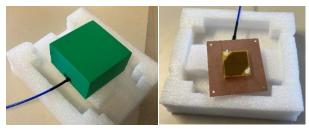


Fig. 19. Covered and uncovered S<sub>11</sub> measurement set up.

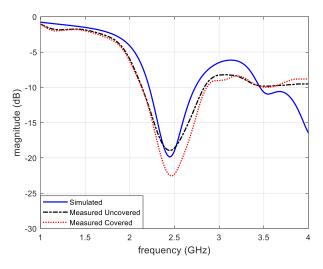


Fig. 20. L-shaped patch antenna LHCP S<sub>11</sub>.

shows a LHCP antenna  $S_{11}$  and Fig. 21 shows a RHCP antenna  $S_{11}$ . Through these figures, a close correlation is seen between the three traces. The covered measurements appear to result in a better  $S_{11}$  when compared to the simulation and the uncovered measurement. Thus,

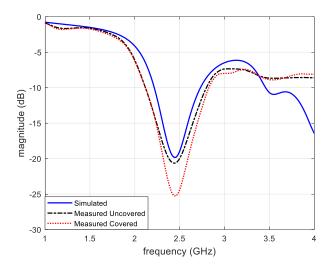


Fig. 21. L-shaped patch antenna RHCP  $S_{11}$ .

the fabricated antennas preform as expected and the covers do not cause any negative effects on  $S_{11}$ .

The S<sub>21</sub> measurement set up for two of the LHCP antennas when they are covered and uncovered is shown in Fig. 22. This setup is used to measure the  $S_{21}$  to verify the simulated realized gain values shown in Figs. 12 and 13. Providing the simulated and measured S<sub>21</sub> values at 2.44 GHz are close, it can be assumed that the gain of the fabricated antennas is close to that of the simulated value. The antennas are separated by 22 mm from ground plane to ground plane. Like the simulation, this test was conducted with co-pol antenna combinations and cross-pol antenna combinations. The results are plotted in Figs. 23 and 24. The covered and uncovered measured data nearly matched the simulated data in the coand cross-pol cases. The measured data has more loss in the  $S_{21}$  measurement due to cable losses. Furthermore, the covered and uncovered data are similar. Overall, the covered and uncovered measured data shows the realized gain of the fabricated antennas is likely close to the simulated realized gain of 9 dB. Lastly, the data also shows that the covers have no negative effects on the ability of the antennas to transmit data.

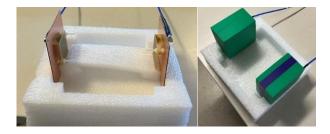


Fig. 22. Covered and uncovered S<sub>21</sub> measurement set up.

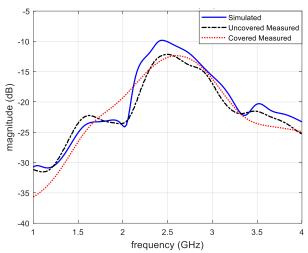


Fig. 23. Co-pol antenna S<sub>21</sub> measurement.

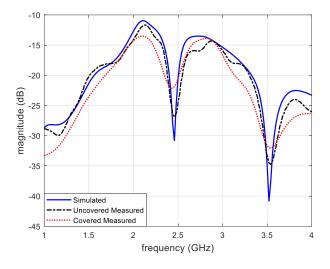


Fig. 24. Cross-pol antenna S<sub>21</sub> measurement.

Finally, radiation patterns of the fabricated antennas were measured in the Electrical Engineering Department's anechoic chamber. The chamber is pictured in Fig. 25. The range utilizes a single ridge source horn as shown in Fig. 26. Thus, circular polarization measurements were not able to be taken in one sweep. As a workaround, the  $E_{\theta}$  and  $E_{\emptyset}$  components of each antenna were measured in the xz and yz planes. These are visualized in Figs. 27 and 28. The source horn ridges are simply aligned with whichever component is being measured and the antenna is swept from -90 to 90. These were then compared with the simulated  $E_{\theta}$  and  $E_{\emptyset}$  in both planes to confirm the fabricated antenna radiation patterns. These results are plotted in Figs. 29 and 30. Through close examination of the normalized data, it can be concluded that the simulation and measured

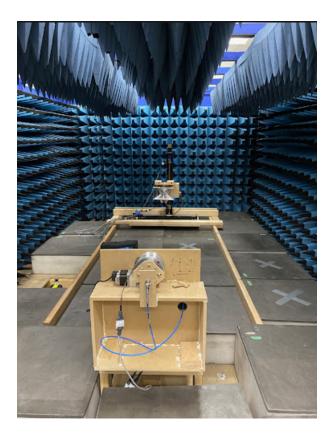


Fig. 25. Anechoic chamber.

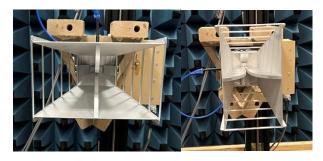


Fig. 26. Anechoic chamber source horn in vertical (left) and horizontal (right) orientations from the view of the AUT.

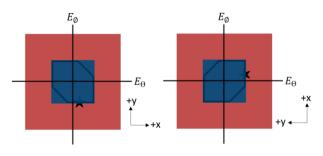


Fig. 27. L-shaped patch  $E_{\theta}$  and  $E_{\emptyset}$  components for the LHCP antenna xz (right) and yz (left) planes.

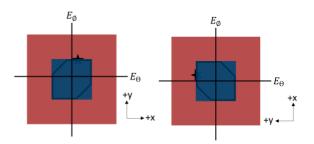


Fig. 28. L-shaped patch  $E_{\theta}$  and  $E_{\emptyset}$  components for the RHCP antenna xz (right) and yz (left) planes.

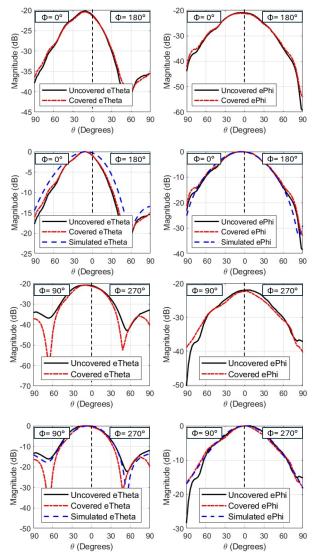


Fig. 29. L-shaped patch LHCP normalized  $E_{\theta}$  and  $E_{\emptyset}$  plot for the xy (top) and yz (bottom) plane.

results match very closely in both antennas for both planes. Thus, the radiation patterns and axial ratios of the fabricated antennas will be the same as the simulated

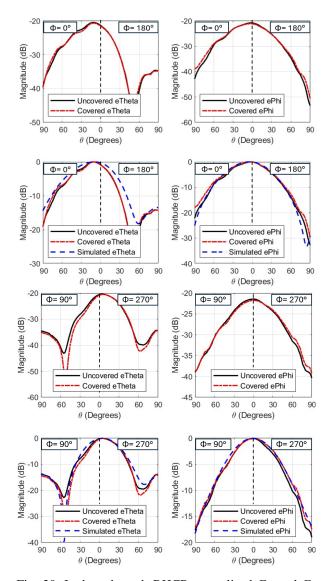


Fig. 30. L-shaped patch RHCP normalized  $E_{\theta}$  and  $E_{\emptyset}$  plot for the xy (top) and yz (bottom) plane.

models. Lastly, the results show the covers do not negatively affect the radiation patterns or axial ratio of the antennas.

# **III. CONCLUSION**

The mining industry's transition to automation allows enhancement in safety and operational efficiency amidst its inherent hazards. This project contributes to this transition by developing a sensing system for a typical rock excavation machine equipped with a pick cutter drum. The project seeks to enable remote operation of the machine by providing crucial real time operational data for efficient control and maneuvering of the machine as well as data on wear condition of the cutting tools. Through the integration of capacitive or piezoelectric load sensors into the cutter drum's pick cutters and the development of high-gain antennas for data transmission, this project addresses key challenges in the transition toward automation, especially in underground mining operations. The L-shaped patch antenna design was optimized through simulations, fabricated and validated through testing. Additionally, the protective antenna covers ensure functional protection without compromising antenna performance. Lastly, the L-shaped patch antenna fulfills the stringent requirements by utilizing various gain increasing design aspects. This project sets a benchmark for the integration of wireless data transmission and load sensing technologies in the mining industry.

## ACKNOWLEDGMENT

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Jamal Rostami is the Haddon/Alacer Gold Endowed Chair, the Director of Earth Mechanics Institute (EMI), and Professor at Mining Engineering at Colorado School of Mines.