# Development of Diplexers Based on Dual-Mode Substrate Integrated Waveguide Cavities

# Hui Chu<sup>1</sup>, Rong Mao<sup>2</sup>, and Jian-Xin Chen<sup>1</sup>

<sup>1</sup> School of Electronics and Information Nantong University, Nantong, 226019, China jjxchen@hotmail.com

<sup>2</sup> North Information Control Group Co. Ltd. Nanjing, 211153, China

**Abstract** — Diplexers based on dual-mode Substrate Integrated Waveguide (SIW) cavities are proposed and implemented. The dual-mode cavities not only act as a resonator for both Rx and Tx channels, but also as the interconnect between these two channels which help to realize the isolation. The dual-mode cavities resonating at  $TE_{201}$  and  $TE_{103}$  modes in our design show several advantages than those dual-mode cavities operating at  $TE_{201}$ and  $TE_{301}$  modes. Single-mode cavities are cascaded with the dual-mode cavity to realize the two-order filters for Rx and Tx channels. Two design examples are presented with a normal printed circuit board process and verified by experiments.

*Index Terms* – Diplexer, dual-mode cavity, filter, Substrate Integrated Waveguide (SIW).

# I. INTRODUCTION

A T-junction, which connects the two filters and the common input port reserved for an antenna, is widely used in conventional diplexer designs [1-4] composed of waveguide or transmission lines. For recently developed diplexers based on Substrate Integrated Waveguide (SIW) [5-6], a Tjunction is also in critical need. The lengths of the transmission lines/waveguides connecting the Tjunction to the Rx and Tx filters are the most important design parameters to achieve a good isolation between two channels. In our previous work [7], a novel 40/50 GHz diplexer is proposed using two dual-mode (operating at  $TE_{102}$  and  $TE_{103}$  modes) cavities. The isolation of this 40/50 GHz diplexer between two channels is realized by properly choosing the geometric shape of the dual-mode cavities and positions of feeding probes instead of using T-junction. Anyhow, due to both of the resonators in the diplexer are of dual-mode cavities, the size of the diplexer is extremely large. Meanwhile, in our previous design, we have to use closely spaced microstrip lines to couple those two cavities which will result in a strong coupling between the microstrip lines and as a result, leading to a poor isolation performance.

In this letter, we propose two diplexers based on dual-mode SIW cavities working at  $TE_{201}$  and  $TE_{103}$  modes, instead of previous  $TE_{201}$  and  $TE_{301}$ modes. Same as the diplexer design in [7], the dualmode cavities involved not only act as a resonator for both Rx and Tx channels, but also the interconnect between these two channels which help to realize the isolation. Anyhow, only one dual-mode cavity is used in each diplexer design in this letter, while two single-mode cavities resonating at  $TE_{101}$  mode are placed at different sides of the dual-mode one and cascaded with it. Obviously, the total size of the diplexer will be much smaller than previous design with two dualmode cavities. Moreover, compared with the dualmode cavity of  $TE_{201}$  &  $TE_{301}$  modes, the dual-mode cavity of  $TE_{201}$  &  $TE_{103}$  modes can be implemented in more cases and has a smaller size for some specific cases, which are explained in detail in Section II. Two design examples of 10/18 GHz and 10/10.5 GHz diplexers are given and verified by

experiments, separately.

## II. ANALYSIS OF PROPOSED DUAL-MODE CAVITY RESONATOR

Figure 1 shows the configuration of a rectangular cavity resonator, where L, W and h are the cavity's length, width and height, respectively. The resonant frequency of the  $TE_{mnl}$  or  $TM_{mnl}$  mode is decided by where  $\mu_r$  and  $\varepsilon_r$  are the relative permeability and relative permittivity of the cavity substrate, c is the speed of light in free space and m, n, l are mode numbers of the resonator. Since the substrate is very thin, only TE mode need be considered in most applications. As a result, the wavenumber n is zero, and m and l are natural integers;

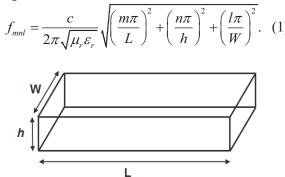


Fig. 1. Geometry of a rectangular resonator.

In [7], resonant modes  $TE_{201}$  and  $TE_{301}$  are selected and can be controlled to resonant at desired frequency  $f_0$  for Rx channel and  $f_1$  for Tx channel with length  $L_1$  and width  $W_1$ , by solving the two equations below:

$$f_0 = \frac{c}{2\pi \sqrt{\mu_r \varepsilon_r}} \sqrt{\left(\frac{2\pi}{L_1}\right)^2 + \left(\frac{\pi}{W_1}\right)^2}, \qquad (2)$$

$$f_1 = \frac{c}{2\pi\sqrt{\mu_r \varepsilon_r}} \sqrt{\left(\frac{3\pi}{L_1}\right)^2 + \left(\frac{\pi}{W_1}\right)^2}.$$
 (3)

However, the equations (2) and (3) can only be resolved when  $f_0/f_1 > 2/3$ . As a result, this kind of diplexer design using a dual-mode resonator of  $TE_{201}$  and  $TE_{301}$  mode is not suitable for applications such as Wireless Local Area Networks (WLAN) whose operating frequency bands are centered at 2.45 GHz and 5.2 GHz, separately.

If we choose  $TE_{201}$  and  $TE_{103}$  as the resonant modes of the dual-mode cavity instead, the length

 $L_2$  and width  $W_2$  of the cavity can be obtained by solving:

$$f_0 = \frac{c}{2\pi \sqrt{\mu_r \varepsilon_r}} \sqrt{\left(\frac{2\pi}{L_2}\right)^2 + \left(\frac{\pi}{W_2}\right)^2}, \qquad (4)$$

$$f_1 = \frac{c}{2\pi \sqrt{\mu_r \varepsilon_r}} \sqrt{\left(\frac{\pi}{L_2}\right)^2 + \left(\frac{3\pi}{W_2}\right)^2}.$$
 (5)

The equations (4) and (5) will have a solution when  $f_0/f_1 > 1/3$ , which means this kind of dualmode cavity can be implemented in more cases of applications. Meanwhile, based on the equations (2), (3), (4) and (5), the cavity's size  $W_2L_2$  will be smaller than  $W_1L_1$  when  $f_0/f_1 > 2\sqrt{2}/3$  when these two diplexers operate at the same frequencies for both Rx and Tx channels.

#### **III. DIPLEXER DESIGN EXAMPLES**

We present two examples here to show the ability and advantages of using  $TE_{201}$ - and  $TE_{103}$ mode cavity for the design of diplexers without a Tjunction. Rogers 5880 substrate with relative permittivity of 2.2 and loss tangent of 0.0009 has been used for design. The dielectric thickness is 20 mil. 50- $\Omega$  microstrip lines with coupling slots are used for the input/output of cavities and the coupling is controlled by changing the length of the coupling slot with a fixed width. The internal coupling between resonant cavities is obtained by one magnetic post-wall coupling slot on the common wall and controlled by the slot's width.

The first example is a diplexer whose two channels are centered very far at  $f_0=10$  GHz and  $f_1=18$  GHz, respectively. The ratio  $f_0/f_1$  equals 5/9 which is larger than 1/3. The center frequencies of the two channels for the second example are centered extremely closely at  $f_0=10$  GHz and  $f_1=10.5$  GHz, separately. The ratio of  $f_0/f_1$  is 20/21 which is larger than  $2\sqrt{2}/3$ .

#### A. 10/18 GHz diplexer

The configuration of a 10/18 GHz diplexer is shown in Fig. 2. The diplexer consists of two twoorder filters with fractional bandwidth of 1.0% for the 10 GHz filter and 1.4% for the 18 GHz filter. Cavity 1 is the dual-mode cavity resonating at 10 GHz for  $TE_{201}$  mode and 18 GHz for  $TE_{103}$  mode. Cavity 2 and cavity 3 are single-mode cavities whose resonant frequency is 10 GHz and 18 GHz, separately. The coupling slot between cavity 1 and 2 is centered at one-third of the shorter side-wall *aa'* of cavity 1, while the coupling slot between cavity 1 and 3 are placed at the center of the longer side-wall *aa''* of cavity 1. We also plot the electric field distributions of the diplexer at 10 GHz and 18 GHz in Fig. 3 in order to understand its working mechanism. As can be seen in Fig. 3, for the dual-

wall *aa*" of cavity 1. We also plot the electric field distributions of the diplexer at 10 GHz and 18 GHz in Fig. 3 in order to understand its working mechanism. As can be seen in Fig. 3, for the dualmode cavity, the electric field in region A is strong at 18 GHz for  $TE_{103}$  mode but weak at 10 GHz for  $TE_{201}$  mode, while region B is strong at 10 GHz but weak at 18 GHz. Therefore, when a coupling slot is opened in region A, it causes a strong coupling at the  $TE_{103}$  mode and weak even null coupling at the  $TE_{201}$  mode. On the contrary, when a coupling slot is opened in region B, only  $TE_{201}$  mode will be excited. As a result, when slot in region B is used to couple a cavity resonated at 10 GHz and slot in region A is coupled to a cavity resonated at 18 GHz, isolation between 10 GHz and 18 GHz can be achieved. The electric field distributions of cavity 3 and cavity 2 shown in Fig. 3 are almost null at 10 GHz and 18 GHz, respectively, which also verify our analysis mentioned above. In order to excite the dual-mode cavity at both of 10 GHz and 18 GHz for the design of the common port of the diplexer, the feeding position of the input port P1 should be chosen at the places where the electric field of the two frequencies overlapped; e.g., at region C in Fig. 3.

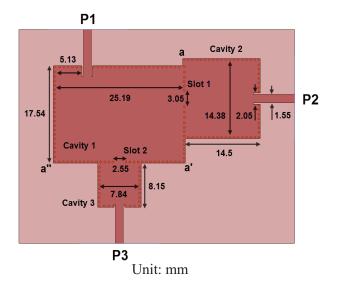


Fig. 2. Geometry of proposed 10/18 GHz diplexer.

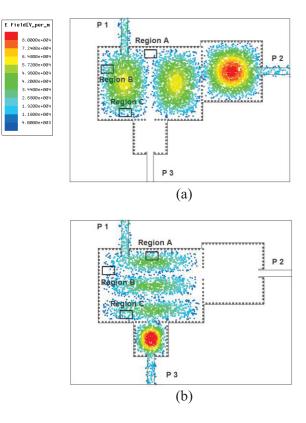


Fig. 3. Electric field distributions of the diplexer at: (a) 10 GHz, and (b) 18 GHz.

Using the equations (4)-(5) and a tuning analysis of the HFSS full-wave simulator, the cavity sizes are determined to be 25.19×17.54×0.508 mm<sup>3</sup> for cavity 1, 14.5×14.38×0.508 mm<sup>3</sup> for cavity 2 and  $8.15 \times 7.84 \times 0.508 \text{ mm}^3$  for cavity 3.

The coupling matrix synthesized for 10 GHz filter is:

M =	0	0.0122	0	0 ]
	0.0122	0	0.0166	0
	0	0.0166	0	0.0122
	0	0	0.0122	0

The coupling matrix synthesized for 18 GHz filter is:

$$\mathbf{M} = \begin{bmatrix} 0 & 0.0171 & 0 & 0 \\ 0.0171 & 0 & 0.0232 & 0 \\ 0 & 0.0232 & 0 & 0.0171 \\ 0 & 0 & 0.0171 & 0 \end{bmatrix}.$$

Then, the external quality factor and the interresonator coupling coefficients for the two filters of the diplexer will be obtained by de-normalizing the above generalized coupling matrixes:

$$k_{ij} = FBW \times M_{ij} \quad Q_e = \frac{1}{FBW \times M_{1S}^2} \quad FBW = \frac{BW}{f_0}, (6)$$

where *FBW* is the frantional bandwidth, *BW* is the absolute bandwidth and  $f_0$  is the center frequency of the passband. The final parameters of the diplexer are then optimized to meet those external quality factor/coupling coefficients given above [8]. It is also noted that, the external quality factors required by these two filters of the two passband are different for the common cavity (cavity 1), which works as a resonator in both filters. Therefore, the final dimension of the external coupling slot for cavity is chosen to find the balance of meeting the calculated external quality factors for both filters [9]. The detailed dimensions of the diplexer are shown in Fig. 2.

The photograph of the fabricated diplexer is shown in Fig. 4. The S-parameter results of the diplexer were measured using a 2.92 mm southwest super SMA connector. The simulated and measured results for the diplexer are shown in Fig. 5 and Fig. 6. A good agreement between simulations and measurements has been achieved. The measured central frequencies are 10 GHz and 17.9 GHz, and minimum insertion losses are 2 dB at both channels, which are about 0.5 dB larger than simulation predicts. The errors are conjecturally caused by the SMA connectors and manufacturing tolerances. The group delays for both channels are smaller than 0.6 ns in the measurement. The isolation is better than 42 dB for both channels.

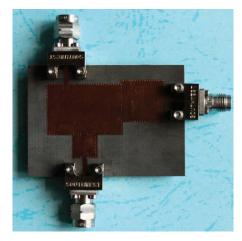


Fig. 4. Photograph of the fabricated diplexer operating at 10 GHz and 18 GHz.

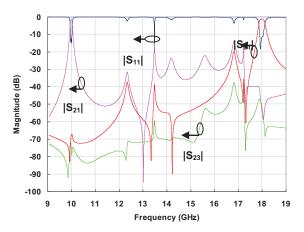


Fig. 5. Simulated S-parameter results of the diplexer.

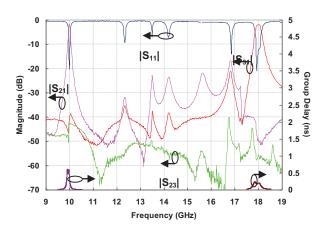


Fig. 6. Measured S-parameter and group delay results of the diplexer.

#### B. 10/10.5 GHz diplexer

The configuration of the 10/10.5 GHz diplexer is shown in Fig. 7. A fractional bandwidth of 0.5% is required for the 10 GHz filter while it is of 0.8% for the 10.5 GHz filter. The basic configuration and working mechanism of the diplexer is almost the same as the 10/18 GHz one. Cavity 1 is the dualmode cavity resonating at 10 GHz for  $TE_{201}$  mode and 10.5 GHz for  $TE_{103}$  mode. Cavity 2 and cavity 3 are single-mode cavities whose resonant frequency is 10 GHz and 10.5 GHz, separately. The coupling slot between cavity 1 and 2 are centered at one-third of the longer side-wall bb' of cavity 1. The coupling slot between cavity 1 and 3 are placed at the center of the shorter side-wall bb'' of cavity 1. The electric field distributions of the diplexer at 10 GHz and 10.5 GHz are plotted in Fig. 8 (a) and (b), respectively.

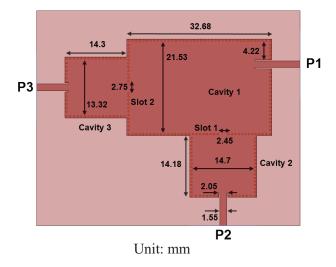


Fig. 7. Geometry of proposed 10/10.5 GHz diplexer.

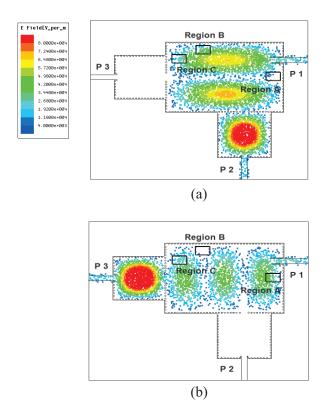


Fig. 8. Electric field distributions of the diplexer at: (a) 10 GHz, and (b) 10.5 GHz.

Based on the equations (4)-(5), the cavity sizes are optimized to be  $32.68 \times 21.53 \times 0.508 \text{ mm}^3$  for cavity 1,  $14.7 \times 14.18 \times 0.508 \text{ mm}^3$  for cavity 2 and  $14.3 \times 13.32 \times 0.508 \text{ mm}^3$  for cavity 3. As a contrast, if we use a dual-mode cavity of  $TE_{201}$  and  $TE_{301}$ modes to design this kind of a diplexer, the size of cavity 1 will be  $70.8 \times 10.6 \times 0.508$  mm<sup>3</sup> and larger than the one of  $TE_{201}$  and  $TE_{103}$  modes, obviously. The detailed design procedure of this diplexer has been described in Section III.A and is omitted here for brevity.

The photograph of the fabricated diplexer is shown in Fig. 9. The simulated and measured results for the diplexer are shown in Fig. 10 and Fig. 11, respectively. Good agreement between simulations and measurements can also be observed. The insertion losses are both 2.1 dB at the two channels, which are about 0.6 dB larger than those predicted by simulation. The errors are also conjecturally caused by the SMA connectors and manufacturing tolerances. The group delays for both channels are smaller than 1 ns in the measurement. The isolation is better than 49 dB for both channels.

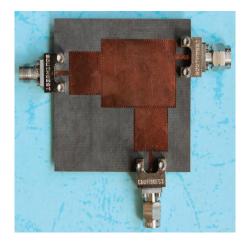


Fig. 9. Photograph of the fabricated diplexer operating at 10 GHz and 10.5 GHz.

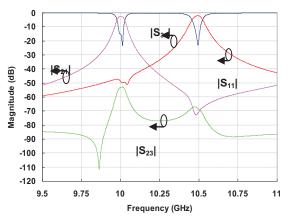


Fig. 10. Simulated S-parameter results of the diplexer.

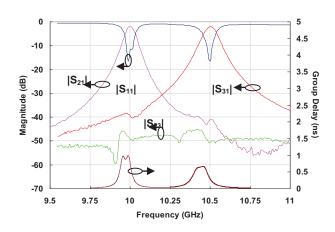


Fig. 11. Measured S-parameter and group delay results of the diplexer.

### **IV. CONCLUSION**

Compared with the design method in our previous work, the diplexer's design method proposed in this paper, using dual-mode SIW cavities resonating at  $TE_{201}$  and  $TE_{103}$  modes, exhibits advantages of smaller size occupation, better isolation performance and more applicable cases. Two examples of diplexers are designed and implemented. The operating frequencies for the two channels of the two examples are centered either very far or very close for the verification of our design method. Meanwhile, it is easy to construct a higher order diplexer by simply connecting more resonators to those single-mode cavities [e.g., cavity 2 and cavity 3] for a better performance of selectivity. The proposed designs of diplexers are very suitable for different kinds of wireless communication applications.

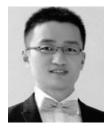
#### ACKNOWLEDGMENT

This work is supported by the National Natural Science Foundation of China under Grants 61301044 and 61271136, by the Program for New Century Excellent Talents in University (NCET-11-0993), and by Six Types of Talents Project of Jiangsu Province (2011-DZXX-014).

#### REFERENCES

- T. Yang, P. L. Chi, and T. Itoh, "High isolation and compact diplexer using the hybrid resonators," *IEEE Microw. Wireless Compon. Lett.*, vol. 20, no. 10, pp. 551-553, October 2010.
- [2] F. Cheng, X. Q. Lin, Z. B. Zhu, L. Y. Wang, and Y. Fan, "High isolation diplexer using quarter-wavelength resonator filter," *Electron. Lett.*, vol. 48, no. 6, pp. 330-331, March 2012.

- [3] F. M. Vanin, D. Schmitt, and R. Levy, "Dimensional synthesis for wide-band waveguide filters and diplexers," *IEEE Trans. Microw. Theory Tech.*, vol. 52, no. 11, pp. 2488-2495, November 2004.
- [4] H. W. Deng, Y. J. Zhao, F. Fu, X. J. Zhou, and Y. Y. Liu, "Compact and high isolation microstrip diplexer for GPS and UWB application," *Electron. Lett.*, vol. 49, no. 10, pp. 659-661, May 2013.
- [5] H. J. Tang, W. Hong, J. X. Chen, G. Q. Luo, and K. Wu, "Development of millimeter wave planar diplexers based on complementary characters of dual-mode substrate integrated waveguide filters with circular and elliptic cavities," *IEEE Trans. Microw. Theory Tech.*, vol. 55, no. 4, pp. 776-782, April 2007.
- [6] J. H. Lee, N. Kidera, G. Dejean, S. Pinel, J. Laskar, and M. M. Tentzeris, "A V-band front-end with 3-D integrated cavity filters/duplexers and antennas in LTCC technologies," *IEEE Trans. Microw. Theory Tech.*, vol. 54, no. 7, pp. 2925-2936, July 2006.
- [7] H. Chu, Y. X. Guo, Y. L. Song, and Z. L. Wang, "40/50 GHz diplexer design in LTCC technology," *Electron. Lett.*, vol. 47, no. 4, pp. 260-262, February 2011.
- [8] J. S. Hong and M. J. Lancaster, "Microstrip filters for RF/microwave applications," *New York: Wiley*, 2001.
- [9] H. Chu, L. Bian, and J. X. Chen, "A novel 3D cavity diplexer with compact size using LTCC technologies for V-band wireless communication systems," *To Be Published In Int. J. RF Microwave Comput. Aided Eng.*



Hui Chu received the M.S. degree from NJUST, Nanjing, China, in 2009, and the Ph.D. degree from NJUST, Nanjing, China, in 2012. He is currently a Lecturer with Nantong University, Jiangsu Province, China. His research interests include antenna/antenna

array and passive circuit designs.



**Rong Mao** was born in Suqian, Jiangsu, China in 1983. She received the M.S. degree from Nanjing University of Science & Technology (NUST), Nanjing, China, in 2006. From 2006 to 2013, she has been with the 14<sup>th</sup> Institute of China Electronics Technology

Group Corporation (CETC 14), where she was an Engineer. Since 2013, she has been with North

Information Control Group Co., Ltd., where she is also an Engineer. Her research interests include electronic circuit designs, embedded software design and the control model simulation.



Jian-Xin Chen was born in Nantong, Jiangsu Province, China, in 1979. He received the B.S. degree from Huai Yin Teachers College, Huai'an City, Jiangsu Province, China, in 2001, the M.S. degree from the University of Electronic Science and Technology of China

(UESTC), Chengdu, China, in 2004, and the Ph.D.

degree from the City University of Hong Kong, Kowloon, Hong Kong, in 2008. Since 2009, he has been with Nantong University, Jiangsu Province, China, where he is currently a Professor. He has authored or coauthored more than 80 internationally referred journal and conference papers. He holds two Chinese patents and two U.S. patents. His research interests include microwave active/passive circuits and antennas, LTCCbased millimeter-wave circuits and antennas.

Chen was the recipient of the Best Paper Award presented at the Chinese National Microwave and Millimeter-Wave Symposium, Ningbo, China, in 2007. He was Supervisor of 2014 iWEM Student Innovation Competition Winner in Sapporo, Japan.