Design and Development of Substrate Integrated Waveguide Based Filtenna for X Band Application

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Abstract – In this paper, substrate integrated waveguide based filtenna operating at X band is proposed. The model is designed on a low-loss dielectric substrate having a thickness of 1.6 mm and comprises shorting vias along two edges of the substrate walls. To realize a bandpass filter, secondary shorting vias are placed close to primary shorting vias. The dimension and position of the vias are carefully analyzed for X band frequencies. The model is fabricated on Roger RT/duroid 5880 and the performance characteristics are measured. The proposed model achieves significant impedance characteristics with wider bandwidth in the X band. The model also achieves a maximum gain of 7.46 dBi in the operating band, thus making it suitable for X band applications.

Keywords – Antenna radiation patterns, filtenna, microstrip patch, rectangular wave guide (RWG), substrate integrate waveguide (SIW).

I. INTRODUCTION

Due to the increase in demand for multifunctional antennas in wireless communications, the size of the antenna profile is greatly reduced and also provides better feasibility in integration with high-frequency circuits. Recently, filtenna becomes popular since the RF space is occupied with much of the available spectrum, and, hence, the role of the filter along with the antenna becomes crucial. The substrate integrated waveguide (SIW) has proven to be a good choice because of its modular integration and low cost [1]. Most of the literature discusses the design of filter and antenna separately and are coupled with a 50 Ω impedance matching circuit

which consumes much space in the antenna profile [2]. This can be replaced by placing a single filtenna design which reduces the overall antenna profile and also eliminates the need for an additional 50 Ω impedance matching circuit [3]. Utilization of both SIW technology along with filtenna further improves the antenna profile size and also improves the ease of fabrication and integration [4-6]. A compact SIW-based filtenna comprising the parasitic patch is proposed [7]. The model utilized a halfmode substrate integrated rectangular cavity to reduce its overall antenna size. In [8], an analysis of SIW structure for rat race couple is proposed. An SIW-based filtenna with reconfigurable nulls by means of electric and magnetic coupling structure is demonstrated [9]. Filtenna can also be designed by utilizing the synthesis of filter structures by placing vertical cavities as in [10]. Similarly, the filtenna can also be realized by placing three vertical cavities integrated with each other to achieve a wider FBW ratio. However, an increasing number of filter cavities to improve FBW results in wider profile thickness. A low-profile SIW-based filtenna is reported [11] with improved bandwidth characteristics that utilize a complementary split-ring resonator (CSRR) over the SIW structures. Most of the literature discusses filtenna operating at narrowband and also these filtenna are designed at lower operating frequencies with lossy transmission lines or with other complex geometries. Hence, there is need for designing filtenna with wide operating range and also with better miniaturization. In this paper, an SIW-based filtenna with improved bandwidth and radiation characteristics is proposed. The antenna is modeled on a low-loss roger substrate with a profile thickness of 1.6 mm which makes it feasible for the integration of other high-frequency circuits. The antenna is analyzed and fabricated to measure its performance characteristics and compared with other conventional models. Moreover, the filtenna exhibits sharp cutoff bands around the operating bands which makes it ideal for X band applications.

II. SUBSTRATE INTEGRATED WAVEGUIDE GEOMETRY

The dielectric-filled metallic waveguide can be realized by means of substrate integrated waveguide [12] which exhibits similar propagation characteristics. The design of the proposed antenna is as shown in Figure 1. The width "W" of SIW is calculated from center-tocenter distance between the rows of vias. Each via has spacing "p" and has radius "r." The length of dielectric layer is "L." Let a and b denote the width and height of the rectangular waveguide, respectively. Assuming a > b, the propagating mode with the lowest cutoff frequency is TE10 (dominant mode). We calculate the radius of via using

$$a = \frac{C}{2f_{\rm cio}\sqrt{\varepsilon_r}}.$$
 (1)

The cutoff frequency of each propagating mode

$$f_{\rm cmm} = \frac{C}{2\sqrt{\varepsilon_r}} \sqrt{\left(\frac{m}{a}\right)^2 + \left(\frac{n}{a}\right)^2} \tag{2}$$

where *C* is the speed of light in vacuum, *m* and *n* are mode numbers, and *a* and *b* are dimensions of the waveguide. For TE₁₀ mode, the cutoff frequency is given as $f_c = \frac{c}{2a}$. For SIW, the dimension d_s is the distance between the SIW walls and is given by $d_s = a_d + \frac{d^2}{0.95p}$, where $a_d = \frac{a}{\sqrt{\varepsilon_r}}$ where *d* is the diameter of via and



Fig. 1. SIW geometry. geometry.



Fig. 2. Two-slot SIW.

Table 1	L	Geometry	of	SIW
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Parameter	Specification
λ_g	9.25 mm
$L \times B$	$25 \text{ mm} \times 30 \text{ mm}$
Р	2 mm
d	1 mm
d_s	15.77 mm

p (p must satisfy p < 2d) is the distance between the vias. The guided wavelength is calculated from $\lambda_g = \frac{2\pi}{\sqrt{\frac{\epsilon_r(2\pi f)^2}{c^2} - (\frac{\pi}{a})^2}}$ and d must satisfy $d < \frac{\lambda_g}{5}$.

Based on the design equations, the geometry of the SIW along with two-slot geometry is shown in Figures 1 and 2 and its corresponding dimensions are given in Table 1.

The surface current distribution at different instances of time "t" over the geometry is shown in Figure 3. The surface current distribution exhibits sinusoidal variation in the variations of the field on the surface of the structure and is confined within the SIW walls above its operating frequency. The antenna is modeled on roger duroid 5880tm having a relative permittivity of 2.2 and thickness of 1.6 mm. The -10 dB reflection coefficient curve corresponding to both SIW with and without slot geometry is given in Figure 4. The structure achieves -10 dB impedance characteristics over its entire operating X band which comprises 8–12 GHz with a cutoff frequency of 6.5 GHz.

SIWs have similar radiation characteristics when compared to dielectric filled metallic waveguide as shown in Figure 4 (S_{11} plot). Hence, longitudinal slots having a length of $\lambda g/2$ are incorporated in the SIW





Fig. 4. Reflection and transmission coefficients (dB).



(b) Two-slot SIW structure

t = 3T/4

Fig. 3. Two-slot SIW.

t = T/2

structure which behaves like a resonant mode in the operating band. The phase constant β corresponding to the fundamental TE10 mode for given slotted SIW is given by

$$\beta = \sqrt{k_0^2 \pm \left(\frac{\pi}{a}\right)^2} < k_0 \tag{3}$$

where k_0 is the wave number. Figure 5 shows the normalized phase constant $\left(\frac{\beta}{k_0}\right)$ corresponding to SIW compared with slotted SIW structure. It is observed that the structure attains real phase value whose mode propagates above the cutoff frequency of 6.5 GHz.

Fig. 5. Normalized phase constant $(\frac{\beta}{k_0})$.

The attenuation constant α is given by

$$\alpha = -\frac{1}{2L} \ln \left(|S_{11}|^2 + |S_{21}|^2 \right) \tag{4}$$

where L is the aperture length. Figure 6 shows the normalized attenuation characteristics of the SIW compared with slotted SIW structure. It is inferred that the structure attains minimum attenuation at its operating band. The dispersion characteristics of the antenna are determined from phase constant and attenuation constant of the proposed SIW structure.

III. HIGHER ORDER INDUCTIVE BASED FILTER DESIGN

A higher order inductive filter is proposed as shown in Figure 5. The filter comprises metallic vias placed to provide inductive window having a width of W_i ($i \in 1, 2$) and length of the cavity resonators are given by 2p as shown in Figure 5. The coupling coefficient between the filter sections is given by K and the resonating frequency of the filter is given by

$$F_{101} = \frac{C}{2\pi\sqrt{\mu_r\varepsilon_r}}\sqrt{\left(\frac{\pi}{W_i}\right)^2 + \left(\frac{\pi}{L_i}\right)^2}$$
(5)

where $L_i = 2 * p$.

IV. SIW-BASED FILTENNA

The proposed antenna geometry is integrating both SIW and filtenna as shown in Figure 7. The model utilizes microstrip to SIW transition [12] connected to 50 Ω microstrip line and integrated with SIW. The feed line is tapered need the antenna feeding point for better matching the impedance of the SIW structure with the input. The width and length of the tapered section are designed and optimized for impedance matching especially at higher frequencies.

The antenna is fabricated on low-cost roger substrate having a relative permittivity of 2.2 and a thickness of 1.6 mm. The model is connected with 50 Ω SMA connector.

In order to validate the performance characteristics of the antenna model, the performances of the prototype are measured and are compared with simulated results.

The impedance characteristics of the filtenna for both simulated and measured results are shown in Figure 8. The reflection coefficient curve corresponding to filtenna geometry achieves -10 dB impedance characteristics over its entire operating X band which comprises 8-12 GHz with sharp rolloff around its operating band. The radiation characteristics of the antenna are measured by the three-antenna gain method and the gain of the proposed model is calculated by means of the Friss transmis-



Fig. 6. Normalized attenuation constant $\left(\frac{\alpha}{k_0}\right)$



Fig. 7. Higher order inductive filter.



Fig. 8. Proposed SIW-based filtenna.



Fig. 9. Impedance characteristics of filter design.





Fig. 10. Radiation characteristics.

sion equation given below

$$P_r = G_t G_r P_t \left(\frac{\lambda}{4\pi r}\right)^2. \tag{6}$$

The simulated and measured radiation characteristics of the antenna model are shown in Figure 9. The antenna radiation pattern is measured inside the anechoic chamber. The antenna achieves a symmetrical radiation pattern with a peak gain of 7.18 dBi for simulated and 6.94 dBi for measured at its 10 GHz center frequency in the operating band (8–12 GHz). Since the ground plane is limited due to its size limit, there is small amount of back radiation seen in the radiation pattern.

The proposed antenna is placed in line of sight with transmitting antenna inside the anechoic chamber. The realized gain corresponding to the proposed antenna is noted for different frequencies in the operating band and is compared with simulated gain as shown in Figure 10.



Fig. 11. Realized gain (dBi).

V. CONCLUSION

A compact SIW-based filtenna operating at X band frequencies is proposed. The model utilizes filter section integrated with SIW-based slotted section. The microstrip to taper transition is used for impedance matching and is terminated with 50 Ω transmission line. The model is analyzed for dispersion characteristics and is fabricated on low-cost substrate. The prototype attains resonates at 8–12GHz in the X band region with a peak gain of 7.18 dBi for simulated and 6.94 dBi for measured at its resonant frequency.

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